

The 4th Annual Global Impact Investing Conference

GLOBAL IMPACT INVESTMENT STRATEGY

Strategic & Practical Tools for Asset Owners, Family Offices & Institutional Investors
Conference – May 16th, 2019 – Mövenpick Hotel - Geneva



Turning Narrative into Action and Reality

Geneva, December 23, 2018

Dear Executive,

Impact investors, asset owners, investment and fund managers are activist investors who identify and assess **impact investee companies** that in turn need to communicate their **company commitment towards SDGs** through **KPIs** as **impact** is becoming the **3rd dimension after risk and return** to profile a targeted investee.

The conference will help address and assess the **gap between supply and demand** in both traditional and mainstream impact segments and will favour **ambitious and concrete actions** to act as effectively as possible.

Join our high profile participants, speakers and partners in Geneva next May 16th, 2019 and participate to the interactive debates.

Kind Regards

François Golbery, Chairman
ESAFON

To know more about **ESAFON**, visit our web site:
<http://www.esafon.com>

ESAFON is an independent **non-profit Swiss Association** created 17 years by two partners **Anne & François Golbery** with the sole objective to drive the impact agenda forward and **encourage private and public investors** to use **impact investment** to **enhance financial and social returns, better manage risks** taking into account **externalities** and **engage** stakeholders within the impact ecosystem while preserving the interests of investees.

ESAFON has launched a **Swiss Impact Initiative** with the objective to **gather expertise to scale impact globally from Switzerland**.

This implies to transform the **Swiss Impact Investment Ecosystem** including private banking, philanthropic foundations, family offices as well as pension funds and life insurance/re-insurance to drive **economies of scale and collaboration amongst investors, intermediaries and investees**.

ESAFON is a Member of the **World Alliance for Efficient Solutions** set-up by the **Solar Impulse Foundation** sourcing **1000 investible solutions** to bridge the gap between economy and ecology and **fast-track clean global economic growth**.

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PARTNERS

 <p>REPUBLIC AND STATE OF GENEVA</p> <p>POST TENEBRAS LUX</p>	<p>Metropolis among the most dynamic in Europe, Geneva adopts for the first time an economic strategy. The State Council creates a framework where to place the future actions of the government. This text gives directions to cantonal policy on economy by specifying the major strategic focuses and objectives.</p> <p>Geneva Economic Strategy 2030 Vision, strategic priorities and objectives Inventory and analysis of key sectors of the Geneva economy</p> <p>The Department for Economic Development, Research and Innovation at the Republic and State of Geneva is at the crossroad between macro-strategic thinking and micro-economic delivery to strengthen Geneva's economic ecosystem to position it to benefit from the opportunities of the digital age but also of the emergence of sustainable development economics.</p>
<p>GAIN – Global Alliance for Improved Nutrition</p>	<p>GAIN – Global Alliance for Improved Nutrition</p> <p>www.gainhealth.org</p>
 <p>EUROPEAN VENTURE PHILANTHROPY ASSOCIATION</p>	<p>The European Venture Philanthropy Association EVPA (https://evpa.eu.com) helps investors and grantmakers connect and learn from each other in their pursuit of deeper societal impact.</p> <p>EVPA is a lively network of organisations that create positive societal impact through venture philanthropy and social investment. EVPA has over 240 members showing the sector is rapidly evolving across borders, covering a full range of venture philanthropy and social investment activities including venture philanthropy funds, social investors, grant-making foundations, impact investing funds, private equity firms, professional service firms, philanthropy advisers, banks and business schools.</p> <p>EVPA defines venture philanthropy as an approach to building stronger investee organisations with a societal purpose, by providing them with both financial and non-financial support.</p> <p>Venture philanthropy's ultimate objective is to achieve societal impact. VP does this through both social investment and high-engagement grantmaking.</p>
 <p>SWISS IMPACT INVESTMENT ASSOCIATION</p>	<p>The Swiss Impact Investment Association SIIA (www.siiia.ch) is a non-profit association in Zug with a mission to showcase investments and companies with societal impact.</p> <p>SIIA is a platform for best practice impact investments.</p> <p>SIIA seeks credible risk, return and impact investment opportunities to showcase. SIIA encourages all stakeholders to jointly develop a narrative for impact investment for society to adopt.</p> <p>SIIA envisions a society in which the quest for economic profit solves rather than creates social and environmental pressure.</p>

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	<p>Sustainable Finance Geneva (SFG) is an association that establishes Geneva as the center for innovation in sustainable finance by engaging individuals and harnessing collective intelligence. The association, founded in 2014, has representation in Zurich, Geneva and Lugano. Created in 2008 by fifteen professionals, SFG now leads the way from Geneva, as the key global platform for sustainable finance. It not only enables an ongoing dialogue between all local organizations and individuals, it also promotes Geneva's financial center. Major projects have been successfully completed over the last years, thanks to the strength of its ever-growing network and the remarkable commitment of its members.</p> <p>Synergies between the organization's members enable new initiatives and reflect the ideal environment for innovation provided by Geneva and the SFG platform.</p>
	<p>South Pole (www.southpole.com)</p> <p>A pioneer in the field of climate impact assessment on investments and a world-leading advisor on sustainability-related portfolio risks, South Pole helps asset owners and asset managers gain actionable insights on the climate impact of their assets.</p> <p>The Group's diverse emission reduction portfolio includes many projects that ensure financial inclusion of the local communities involved.</p>
	<p>The UNSIF Research Council (RC) is a collaboration between UNDP SDG Impact Finance (UNSIF) and leading global universities or academic research institutions recognized for their research in advanced financial and economic models.</p> <p>The RC is committed to sharing information and ideas to collaborate on a long-term research agenda in the area of new quantitative frameworks for impact investment finance and their intended effect on the achievement of the Sustainable Development Goals (SDGs).</p> <p>The objective is to undertake academically rigorous peer-reviewed research to improve the analytical frameworks, evidence, and policy environment that will incentivize and guide commercial capital to flow intentionally in support of the UN's Sustainable Development Goals.</p>

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Highlights

Conference, May 16th, 2019

- **Methodology & Tools for Evaluating and Communicating on SDG Commitment and ESG Performance : The investee and investor's perspective**
- **Creating a Methodology for Investing in a Portfolio of Socially Responsible Assets**
- **New EU Regulatory Framework for Sustainable Growth and its impact on Institutional Investors and Financial Advisers Behaviour**
- **Round-table : Innovative Ideas to Incentivize Impact Investment**
- **Selected case-studies and discussion over completed impact investments with proven large scale positive correlation amongst impact and return**
- **Impact Investing in Action : A selection of top-notch impact investment projects**
- **Pro's and Con's of Different Impact Investment Models and Strategies from both an Investee and Investor's Perspective – Discussion**
- **State of the Art for Mitigating Risk in an Impact Fund**
- **Results from a 2018 Benchmarking Survey of Swiss and Global Family Offices Involved in Impact Investing**
- **Highlighting the added –value of Blockchain Technology in Managing Global Impact Investment Strategies – a step by step approach alongside the value chain**
- **Innovative Solutions for Sourcing and Scaling Investments in Niche Impact Market Environment**

Invited Speakers

Yvonne Bakkum, Managing Director, FMO Investment Management
Angela Bay, Secretary-General, China Alliance of Social Value Investment CASVI, China
Alfred Berkeley, Former President NASDAQ
David Brandt, CEO, NewForest
Klaus-Michael Christensen, CEO, Swiss Impact Investment Association
Sophie Faujour, France Country Representative, EVPA
Greg S. Garrett, Director, Gain, Geneva (private/public partnership)
Jean Laville, Deputy CEO, Swiss Sustainable Finance
Kurt Morriesen, Senior Advisor, UNDP-UNSIF
Karl H Richter, Executive Director, ENGAGEDX
Violette Ruppner, MD, Swiss Bluetec Bridge
Audrey Selian, Head of Family Office, Rianta Capital, Switzerland
Christoph Stückelberger, Executive Director, AGAPE Foundation
Florian von Tucher, Head of FO, Tucher Group, Munich
Karen Wilson, Head Social Impact Investing, OECD
Weihua Ma, President of Council, National Fund for Technology & Communication, China, Chairman Entrepreneur Club, Beijing
Arthur Wood, Co-founder, Total Impact Capital, Geneva, Switzerland
Haoliang Xu, UN Assistant Secretary General, Director Asia-Pacific, UNDP

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Agenda

Conference, May 16th, 2019

8.00 am-8.50 am: Registration & Welcome Coffee

8.50 am-9.00 am: Opening Remarks

François Golbery, Chairman, ESAFON, Switzerland

9.00 am-9.45 am: Keynote Address: Turning SDG's into Thematic Impact Investment & Management

Haoliang Xu, UN Assistant Secretary-General, UNDP, Assistant Administrator & Regional Director for Asia and the Pacific

9.45 am-10.30 am: The Imperative of Impact Investing & Policy Issues to Consider

Karen Wilson, Head Social Impact Investing, OECD, Paris

10.30 am-11.00 am: The Leading Role of Geneva in Fostering Collaboration amongst Sustainable Financial Places

Nicholas C. Niggli, Director General for Economic Development, Research and Innovation, Republic and State of Geneva, Switzerland

11.00 am -11.30 am Coffee-Break & Networking Opportunities

FAVOURING CONCRETE ACTIONS

11.30 am-12.00 am: What is the Swiss Impact Ecosystem and What Can We Take from the Old to Populate the New to Drive Economies of Scale and Collaboration into the System

Arthur Wood, Co-founder, Total Impact Capital, Geneva, Switzerland

12.00 am-1 pm: Panel Session: Pro's and Con's of Different Impact Investment Models and Strategies from both an Investee and Investor's Perspective

Moderator: Klaus-Michael Christensen, CEO, Swiss Impact Investment Association, Zug

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Panelists:

Yvonne Bakkum, Managing Director, **FMO Investment Management, The Hague, Netherland** (direct & indirect)

Arthur Wood, Co-founder, **Total Impact Capital, Geneva, Switzerland** (investee centric model)

Sophie Faujour, France Country Representative, **EVPA, France** (venture philanthropy)

Audrey Selian, Head of Family Office, **Rianta Capital, Switzerland** (direct/platform)

1.0pm -1.45 pm: Buffet Lunch

1.45 pm-2.15 pm: Selected case-study and discussion over completed impact investments with proven large scale positive correlation amongst impact and return

David Brandt, CEO, **NewForest, Sydney**

2.15 pm – 2.45 pm: Nutrition as a New Investment Class

Greg S. Garrett, Director, **Gain, Geneva**

2.45 pm-3.15 pm: Live Pitch Table Sessions: Impact Investing in Action: A selection of top-notch impact investment projects aligning with SDGs

3.15 pm-3.45 pm: Coffee Break & Networking Opportunities

IMPACT ENGAGEMENT STRATEGIES FOR FAMILY OFFICES

3.45 pm-4.15 pm: UNSIF Strategy for Partnering with Family Offices to Enhance their Impact Engagement

Kurt Morriesen, Senior Advisor, **UNSIF, London**

4.15 pm-5.15 pm Inter-connecting Impact Family Office Investments amongst Europe & China

Florian von Tucher, Head of FO & CEO, **Tucher Group, Munich**

Chinese Entrepreneurs involved in **Impact Investments** selected through:

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Ma Weihua, President of Council, **National Fund for Technology & Communication, China**,
Chairman, **Entrepreneur Club, Beijing**, Chairman, **China Alliance of Social Value Investment**
&

Angela Bay, Secretary-General, **China Alliance of Social Value Investment CASVI, China**

ALIGNING PORTFOLIOS WITH ESG & SDG

5.15 pm-5.45 pm: Creating a Methodology for Investing in a Portfolio of Socially Responsible Assets

Alfred R. Berkeley, Chairman, **Princeton Capital Management LLC, USA**, former President
NASDAQ Stock Market

5.45 pm-6.30 pm: Panel Session: Methodology & Tools for Evaluating and Communicating on SDG Commitment and ESG Performance: The investee and investor's perspective

Moderator: Karl H Richter, Executive Director, **Engagedx, Germany**

Panelists:

Jean Laville, *Deputy CEO*, **Swiss Sustainable Finance**

Invited: SAP, SGS, Nestlé

6.30 pm – 7.30 pm Closing Cocktail

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Who Should Attend

- Institutional Investors including Asset Managers, Insurers, Re-insurers, Pension Funds
- Large scale Family Offices, Private Investors, Multi Family Offices, Strategic Advisors
- Endowments and Foundations
- Asset & Fund Managers, Lawyers, Administrators and Service Providers
- Real Estate Fund Managers, Real Asset Managers, Private Equity Fund GP's and LP's
- VC, Start Ups, Social Entrepreneurs, Next Gen
- Global Heads of Fund Development
- Private Banks and Wealth Managers willing to set-up and implement impact investment fund vehicles

ESAFON 3rd Annual Global Impact Investment Strategy - April 19th 2018 – Geneva FEEDBACK FROM THE DELEGATES

Thanks for hosting an amazing event with great speakers and participants, we enjoyed being there and presenting our work in affordable housing in India.

Kirti Timmanagoudar, Managing Partner | Brick Eagle Funds

It was such a great and insightful event. Thank you very much for organizing and providing the opportunity to exchange with like-minded people!

Nadine Bruder, Founder, Yes Brand Please

Thank you very much for the excellent conference last week- very well organised, immensely useful

Raj Rana, Director, the Wolfgroup

Thanks again for the great meeting today!

Zsuzsa Hutton, Managing Director, OlaRe

Thanks again for the organization!

Dr Willem Schramade, Portfolio Manager Impact Investing, NN Investment

Congratulations on the successful completion of the conference!

Angela Bai, General Secretary, China Alliance of Social Value Investment

Thank you for allowing me to moderate such a high caliber panel !

Klaus M. Christensen, President, Swiss Impact Investment Association

Many thanks for hosting a wonderful conference and very interesting conversation. I had a great time! I look forward to staying in touch and please let me know how I can continue to support your efforts.

Karl H Richter, Head of Research and Knowledge, UNDP SDG Impact Finance (UNSIF)

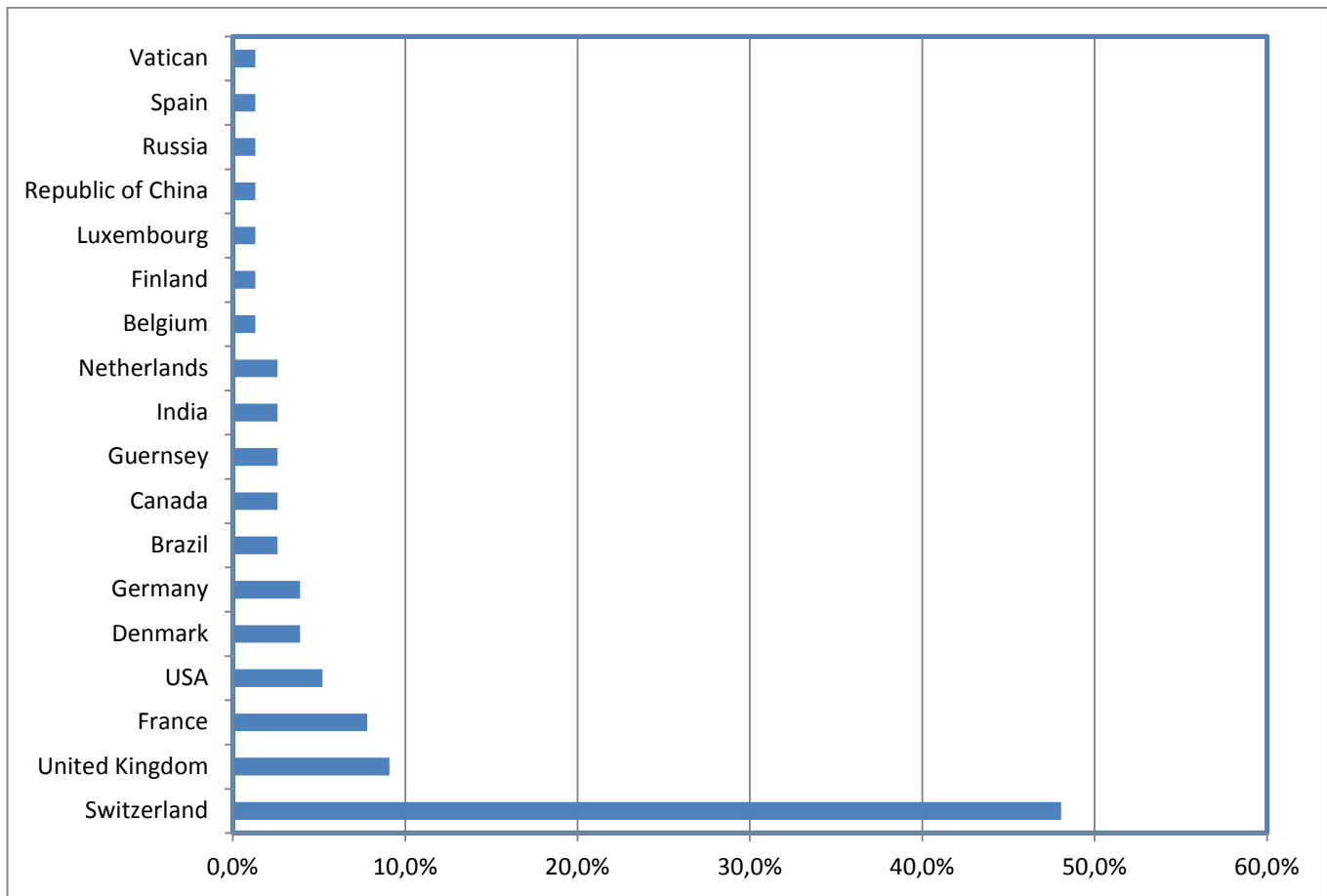
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**ESAFON 3rd Annual Global Impact Investment Strategy - April 19th 2018 – Geneva
Breakdown of the Delegates by Country**

18 represented countries



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ESAFON PARTNERSHIP OPPORTUNITIES 2019

Should you like to **enhance your brand** and **promote your impact expertise, products and services** to **high profile Single Family Offices, Impact Private & Institutional Investors and Impact Fund & Asset Managers**, don't hesitate to benefit from the **ESAFON Partnership Opportunities** now available for the 4th Annual Global Impact Investing Conference, May 16th, 2019, Geneva.

If interested, please contact **Dr Anne Golbery, Senior Managing Director, ESAFON, a.golbery@esafon.com, mobile 00 33 6 45 83 67 67**

“EXHIBITOR PARTNER”: 1'950 CHF

- partner logo inserted in the conference brochure
- an exhibition space in the conference foyer for the duration of the conference to set-up your booth
- a personalised invitation to send to your contacts with a 50% discount for your contacts
- your Corporate Profile inserted on our web site with a link to your web site
- 3 complimentary conference invitations for your colleagues or clients (value: 1'650 CHF)
- the complete mailing list of the conference participants

“FIRST CLASS PARTNER”: 2'950 CHF

- a 30 minute non-commercial presentation as a speaker
- a personalised invitation to send to your contacts with a 50% discount for your contacts
- your Corporate Profile distributed to the participants
- 4 complimentary conference invitations for your colleagues or clients (value: 2'200 CHF)
- the complete mailing list of the conference participants

“SILVER PARTNER”: 4'950 CHF

- partner logo on front page of the conference brochure
- a 30 minute presentation as a guest speaker with a formal introduction from the chairman
- lunch or cocktail hosted by your organisation (luncheon costs included in the total package of 4'950 CHF)
- partner's logo appears on the schedule in participant folders
- your Corporate Profile published on our web site and distributed during the conference
- a personalised invitation to send to your contacts with a 50% discount for your contacts
- 5 complimentary conference invitations for your colleagues or clients (value: 2'750 CHF)
- the complete mailing list of the conference participants

“GOLD PARTNER”: 6'950 CHF

- all the conditions applying to EXHIBITOR partnership, plus:
- partner logo on front page of the conference brochure
- lunch or cocktail hosted by your organisation (lunch included in the total package of 6'950 CHF)
- a 30 minute presentation as a guest speaker with a formal introduction from the chairman
- 10 complimentary conference invitations for your colleagues or clients (value: 5'500 CHF)

“LEAD PARTNER”: 7'950 CHF

- all the conditions applying to GOLD partnership, plus exclusivity of partnership to company's market sector

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ESAFON BOARD MEMBERS



François Golbery, Chairman, ESAFON, Switzerland fgolbery@esafon.com

François Golbery is the Chairman of ESAFON, a not – for - profit independent association registered in the Registrar of the Federal Office in Bern with the objective of promoting and facilitating best practices within the Finance industry. At ESAFON, we are committed to help drive the impact agenda forward as we firmly believe that environmental and social unbalances - well described under the UN SDG's - need urgently to be addressed strategically and financially.

François strongly supports the development of a mainstream global impact capital market driven by investor's willingness and preference to achieve alongside financial returns, environmental and social returns as well.

Through ESAFON and its high profile expert network, Family Offices, strong drivers of Impact Investing, will learn how to develop the strategic and practical tools to master the hard compiling process to due diligence and risk assessment.

François is a Senior Managing Partner at MGFF Ltd, a global strategic advisor to Institutional & Private Investors involved in impact and sustainable investments.

Latest assignments include strategic advisory services to international timberland manager in fund set-up and profiling.

François has built –up a personal network of high calibre experts that are instrumental to forge the future during its tenure at MGI.

In 1988, he started-up and grew the French subsidiary of IIR, a US leading conference business organization in Paris as the most profitable entity of the group and was elected Manager of the Year in 1992 & 1993. He received his prize from Edward de Bono, the originator of the lateral thinking concept. Took over the overall responsibility of the Portuguese office and consequently had a team of 150 people under his responsibility.

He holds an MBA in Finance from the University of Hartford (Connecticut), under the patronage of Michel Barnier, former Commissioner of the Internal Market Division of the European Commission and now in charge of negotiating the Brexit for the EU.

François Golbery is a member of the Royal Ocean Racing Club in London.

François is co-owner and an active manager in forestry assets.

Dr Anne Walhain Golbery, Senior Managing Director, ESAFON, Switzerland
a.golbery@esafon.com



Dr Anne Walhain Golbery is Managing Director and Board Member of ESAFON, a non-profit independent association started in 2001.

ESAFON new objectives from 2015 are to help family offices, private and institutional investors increase access, scalability and effectiveness of their Global Impact Investments.

Anne is Managing Partner of MGFF Ltd, a global impact investment advisor helping managers structure impact investments.

François & Anne are Partners in life and in business; Anne has been the co-founder of MGI Management Global Information SA, managing the global operations of MGI for 18 years. Previously, as IT Program Manager, Anne has been designing and implementing Technical Information Systems for the Space Electronic Equipments at EADS, France, for 12 years, from 1982 to 1994.

Anne held a Doctorate in Atomic Physics, University of Caen, France, 1979.

She enjoys doing business and sailing with François for 32 years. She has been racing as navigator on a One Ton sailing boat during the Fastnet Race 1979.

Anne is co-owner and manager in forestry assets.

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ESAFON 2019



If you are an Asset Manager, a Fund Manager, the Head of a Family Office or a Strategic Advisor, join

**ESAFON – The European & Swiss Network of
 Asset and Fund Managers, Family Offices and Strategic Advisors**

ESAFON is a Swiss registered non-profit association located in Switzerland that includes **family offices, institutional investors and best in class experts** addressing **global strategic impact investment issues**.

With 100 honorary members, the primary objective for **ESAFON** in 2019 is to help **family offices and institutional investors** increase **access, scalability and effectiveness** of their **Global Impact Investments**.

Thanks to **ESAFON** and its high profile expert network, Family Offices, strong drivers of Impact Investing, will learn **how to develop the strategic and practical tools to master the hard compiling process to due diligence and risk assessment**.

ESAFON members network with major international leaders through think tank meeting and social events.

**IMPACT INVESTMENT SEMINAR FOR INDEPENDENT WEALTH MANAGERS, EXTERNAL
 ASSET MANAGERS & FUND MANAGERS**

Practical Tools to select the best Impact Investment Products for Private & Institutional Investors
 Seminar – March 27th, 2019 – Central Geneva

The 4th Annual Global Impact Investing Conference, May 16th, 2019, Geneva

ESAFON is launching 2 surveys: [The European Family Office Global Impact Investments Survey 2018](#) and [The European Institutional & Pension Fund Impact Investments Survey 2108](#). Please ask our customer service at customer.service@esafon.com to receive your questionnaire.

ESAFON members will furthermore have access to the **GLOBAL IMPACT FAMILY OFFICE CO-INVESTMENT PLATFORM 2019** through the **ESAFON** website: www.esafon.com

- Their details are added to the **ESAFON DIRECTORY** which is updated on a monthly basis.
- They benefit from **one personal complimentary invitation to the ESAFON conference 2019** and from a **50% discount** on their registration fee to the **ESAFON seminars 2019**
- The Annual **Individual Membership Fee 2019** is **300 CHF**.
- The Annual **Institutional Membership Fee 2019** is **600 CHF**. It allows each Institution, Member of ESAFON, to register until **3 executives** as **ESAFON Members**
- **ESAFON Honorary Membership**: this membership is restricted to the Donors willing to support the Association **ESAFON** for one year: **from 1'000 CHF**. The **ESAFON Honorary Members 2019** became **Strategic Partners of the 4th Annual Global Impact Investing conference, May 16th 2019**.

For more information concerning ESAFON Membership and to register, please contact:
 Dr Anne Golbery, Senior Managing Director, **ESAFON**, a.golbery@esafon.com, + 33 6 45 83 67 67

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How to Register:

Please send back this Form fulfilled and signed to our Customer Service at customer.service@esafon.com

CONFERENCE: (cpe: 8 hours) 23.12.2018

Title: GLOBAL IMPACT INVESTMENT STRATEGY

Date: May 16th, 2019
Conference Code: C1920

Conference Venue: Mövenpick Hotel, Geneva
www.movenpick.com
This 5 Business Hotel is very closed to the Airport and has a free shuttle available every 20 min for its clients*

REGISTRATION:

Name.....First Name.....
 Position.....
 Company.....
 Address.....
 E-mail.....Tel.....

GLOBAL IMPACT INVESTMENT STRATEGY	Fee includes Refreshments, Buffet Lunch, a Full Documentation Package including the CD ROM of the speaker presentations
<input type="checkbox"/> Yes, I wish to register * May 16 th , 2019, Mövenpick Hotel, Geneva Code C1920	
<input type="checkbox"/> Yes, I wish to book a room at Mövenpick Hotel At the special ESAFON rate of 250 CHF	
<input type="checkbox"/> Standard Registration Fee	550 CHF
<input type="checkbox"/> Special Early Registration Fee for Registration & Payment by December 30 th 2018	350 CHF
<input type="checkbox"/> Special Early Registration Fee for group of 2 persons and more, for Registration & Payment by December 30 th	250 CHF per delegate
Date:	Signature:

*Please tick the applicable box

Yes, I will pay by wiring transfer upon the receipt of my invoice

Please send the invoice to the following address:

Name, Job Title:.....

Address:.....